

| Form PTO 1449<br>(Modified)   |           | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |          | ATTY DOCKET NO.<br>249114US2    | SERIAL NO. 10/779621<br>NEW APPLICATION |  |  |
|---|-----------|---|----------|---------------------------------|---|--|--|
| LIST OF REFERENCES CITED BY APPLICANT                                   |           |   |          | APPLICANT<br>Takashi OHSAWA     |   |  |  |
|   |           |   |          | FILING DATE<br>HEREWITH 2/18/04 | GROUP<br>2815                           |  |  |
| U.S. PATENT DOCUMENTS   |           |   |          |                                 |   |  |  |
| EXAMINER INITIAL  |           | DOCUMENT NUMBER   | DATE     | NAME                            | CLASS                                   | SUB CLASS                              | FILING DATE IF APPROPRIATE                                       |
| <i>lw</i>   | AA        | 6,621,725 B2  | 09/16/03 | Takashi OHSAWA                  |   |  |  |
| <i>m</i>  | AB        | 6,538,916 B2  | 03/25/03 | Takashi OHSAWA                  |   |  |  |
| <i>nm</i>   | AC        | 6,617,651 B2  | 09/09/03 | Takashi OHSAWA                  |   |  |  |
|   | AD        |   |          |                                 |   |  |  |
|   | AE        |   |          |                                 |   |  |  |
|   | AF        |   |          |                                 |   |  |  |
|   | AG        |   |          |                                 |   |  |  |
|   | AH        |   |          |                                 |   |  |  |
|   | AI        |   |          |                                 |   |  |  |
|   | AJ        |   |          |                                 |   |  |  |
|   | AK        |   |          |                                 |   |  |  |
|   | AL        |   |          |                                 |   |  |  |
|   | AM        |   |          |                                 |   |  |  |
|   | AN        |   |          |                                 |   |  |  |
| FOREIGN PATENT DOCUMENTS  |           |   |          |                                 |   |  |  |
|   |           | DOCUMENT NUMBER   | DATE     | COUNTRY                         | Class<br>Sub Class                      | TRANSLATION<br>YES                  NO |  |
| <i>lw</i>   | AO        | 2002-246571   | 08/30/02 | Japan (with English Abstract)   |   |  | X  |
|   | AP        |   |          |                                 |   |  |  |
|   | AQ        |   |          |                                 |   |  |  |
|   | AR        |   |          |                                 |   |  |  |
|   | AS        |   |          |                                 |   |  |  |
|   | AT        |   |          |                                 |   |  |  |
|   | AU        |   |          |                                 |   |  |  |
|   | AV        |   |          |                                 |   |  |  |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) |           |   |          |                                 |   |  |  |
| <i>lw</i>   | AW        | Charles KUO, et al., "A Capacitorless Double-Gate DRAM Cell Design for High Density Applications", IEDM TECH. DIGEST, Dec. 2002, pgs. 843-846 |          |                                 |   |  |  |
|   | AX        |   |          |                                 |   |  |  |
|   | AY        |   |          |                                 |   |  |  |
|   | AZ        |   |          |                                 |   |  | <input type="checkbox"/> Additional References sheet(s) attached |
| Examiner  | <i>lw</i> |   |          |                                 |   | Date Considered 12/22/04               |  |

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.